

# Unipotent Numerical Semigroups

## Arf Semigroups

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## Definition

A subset  $\mathcal{S} \subseteq \mathbb{Z}_{\geq 0}$  is a numerical semigroup if

- $0 \in \mathcal{S}$ .
- If  $a, b \in \mathcal{S}$  then  $a + b \in \mathcal{S}$ .
- Complement of  $\mathcal{S}$  in  $\mathbb{Z}_{\geq 0}$  is finite.

# Numerical Semigroups

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## Example

Let  $\mathcal{S} = \{0, 3, 5, 6, 8, 9, 10, \rightarrow\} = \langle 3, 5 \rangle$ .

Let  $\mathcal{S}$  be a numerical semigroup.

- Multiplicity  $\mathfrak{m}(\mathcal{S})$  is the smallest non-zero number in  $\mathcal{S}$ .
- Gap set  $G(\mathcal{S})$  is the set of elements of the complement of  $\mathcal{S}$  in  $\mathbb{Z}_{\geq 0}$ . Genus  $g(\mathcal{S})$  is the cardinality of  $G(\mathcal{S})$ .
- Frobenius element  $F(\mathcal{S})$  is the largest number in the gap set  $N(\mathcal{S})$ .
- $PF(\mathcal{S}) := \{x \in G(\mathcal{S}) : x + \mathcal{S} \subseteq \mathcal{S}\}$
- Conductor  $c(\mathcal{S}) = F(\mathcal{S}) + 1$ .
- Sporadic elements  $N(\mathcal{S}) := \{x \in \mathcal{S} : x < F(\mathcal{S})\}$ . We denote  $\mathfrak{n}(\mathcal{S}) = |N(\mathcal{S})|$ .
- Minimal generating set of  $\mathcal{S}$  is denoted by  $e(\mathcal{S})$ .

## Example

Let  $\mathcal{S} = \{0, 3, 5, 6, 8, 9, 10, \rightarrow\} = \langle 3, 5 \rangle$ .

- $m(\mathcal{S}) = 3$ .
- $G(\mathcal{S}) = \{1, 2, 4, 7\}$  and  $g(\mathcal{S}) = 4$ .
- $F(\mathcal{S}) = 7$ .
- $c(\mathcal{S}) = 8$ .
- $PF(\mathcal{S}) = \{4, 7\}$
- $N(\mathcal{S}) = \{0, 3, 5, 6\}$  and  $n(\mathcal{S}) = 4$ .
- $e(\mathcal{S}) = 2$

# Applications

- Let  $\mathcal{S}$  be a numerical semigroup. Let  $\mathbb{K}$  be algebraically closed and define  $\mathbb{K}[\mathcal{S}] = \bigoplus_{s \in \mathcal{S}} \mathbb{K}t^s$ . Consider  $\mathbb{K}[[\mathcal{S}]]$ .  
[Kun70] showed that  $\mathbb{K}[[\mathcal{S}]]$  is a Gorenstein ring if and only if  $F(\mathcal{S})$  is odd and  $\mathcal{S}$  is irreducible.



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- Application to One-Dimensional Analytically Irreducible Local Domains (*i.e. One-Dimensional Local Rings  $(A, m)$  such that the  $m$ -adic completion of  $A$  is a domain.*)

# Applications

- Let  $\mathcal{S}$  be a numerical semigroup. Let  $\mathbb{K}$  be algebraically closed and define  $\mathbb{K}[\mathcal{S}] = \bigoplus_{s \in \mathcal{S}} \mathbb{K}t^s$ . Consider  $\mathbb{K}[[\mathcal{S}]]$ . [Kun70] showed that  $\mathbb{K}[[\mathcal{S}]]$  is a Gorenstein ring if and only if  $F(\mathcal{S})$  is odd and  $\mathcal{S}$  is irreducible.
- Application to One-Dimensional Analytically Irreducible Local Domains (i.e. *One-Dimensional Local Rings*  $(A, m)$  such that the  $m$ -adic completion of  $A$  is a domain.)
- Let  $X$  be a compact Riemann surface or equivalently, a smooth algebraic projective curve over the complex field  $\mathbb{C}$  of genus  $g$ . For each  $P \in X$ , there are exactly  $g$  integers  $\alpha_i(P)$  with

$$1 = \alpha_1(P) < \cdots < \alpha_g(P) \leq 2g - 1$$

such that there exist no meromorphic function on  $X$  having a pole at  $P$ , of multiplicity  $\alpha_i(P)$ , as its only singularity [Del08].

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# Unipotent Numerical Semigroups

Let

$$\mathbf{U}(n, \mathbb{Z}_{\geq 0}) := \left\{ \begin{pmatrix} 1 & x_{12} & x_{13} & \cdots & x_{1n} \\ 0 & 1 & x_{23} & \cdots & x_{2n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} : \{x_{ij}\}_{1 < i < j < n} \in \mathbb{Z}_{\geq 0} \right\}$$

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We fix a finitely generated monoid  $\mathcal{M} \subseteq \mathbf{U}(n, \mathbb{Z}_{\geq 0})$ . A subset  $\mathcal{S} \subseteq \mathcal{M}$  is a *unipotent numerical semigroup* if

- $\mathbf{1}_n \in \mathcal{S}$ .
- If  $A, B \in \mathcal{S}$  then  $AB \in \mathcal{S}$ .
- Complement of  $\mathcal{S}$  in  $\mathcal{M}$  is finite.

Let us fix  $\mathcal{M} = \mathbf{P}(n, \mathbb{Z}_{\geq 0})$  where

$$\mathbf{P}(n, \mathbb{Z}_{\geq 0}) := \left\{ \begin{pmatrix} 1 & x_{12} & x_{13} & \cdots & x_{1n} \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} : \{x_{1j}\}_{1 < j \leq n} \in \mathbb{Z}_{\geq 0} \right\} \quad (\cong \mathbb{Z}_{\geq 0}^{n-1})$$

## Example

Let

$$\mathbf{P}_k(n) := \{(x_{1j})_{1 < j \leq n} \in \mathbf{P}(n) : x_{1j} \geq k \text{ for some } 1 > j \leq n\} \subseteq \mathbf{P}(n).$$



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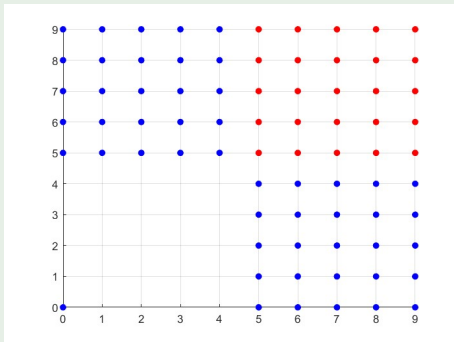


Figure: This is  $\mathbf{P}_5(3)$

## Example

Let  $\mathcal{S} \subseteq \mathbf{P}(3)$  and consider  $\mathcal{S}$  plotted as

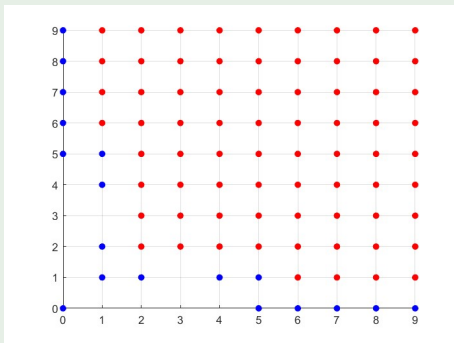


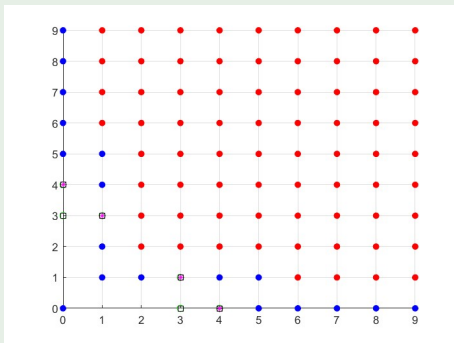
Figure:  $\mathcal{S} = \langle (1, 1), (2, 1), (1, 2), (4, 1), (1, 4), \mathbf{P}_5 \rangle$

Let  $\mathcal{S} \subseteq \mathcal{M}$  be a unipotent numerical semigroup.

- Multiplicity  $\mathfrak{m}(\mathcal{S}) := \{(x_{ij}) \in \mathcal{S} : \sum x_{ij} \text{ is minimum}\}$
- Gap set  $\mathcal{G}(\mathcal{S})$  is the set of elements of the complement of  $\mathcal{S}$  in  $\mathcal{M}$ .  
Genus  $g(\mathcal{S}) = |\mathcal{G}(\mathcal{S})|$ .
- Frobenius elements  $\mathcal{F}(\mathcal{S}) := \{A \in \mathbb{N}(\mathcal{S}) : A\mathcal{M}^* \subseteq \mathcal{S}\}$ .
- PseudoFrobenius elements  $\mathcal{PF}(\mathcal{S}) := \{A \in \mathbb{N}(\mathcal{S}) : A\mathcal{S}^* \subseteq \mathcal{S}\}$ .
- Generating number  $\mathfrak{r}(\mathcal{S}) = \min\{k \in \mathbb{N} : \mathbf{P}_k \subseteq \mathcal{S}\}$ .
- Sporadic elements  $\mathbb{N}(\mathcal{S}) := \mathcal{S} \setminus \mathcal{M}_{\mathfrak{r}(\mathcal{S})}$  and  $\mathfrak{n}(\mathcal{S}) = |\mathbb{N}(\mathcal{S})|$ .
- Minimal generating set of  $\mathcal{S}$  is denoted by  $\mathfrak{e}(\mathcal{S})$ .

## Example

Let  $\mathcal{S} \subseteq \mathbf{P}(3)$  and consider  $\mathcal{S}$  plotted as



$$\mathfrak{m}(\mathcal{S}) = \{(1, 1)\}, \quad \mathfrak{F}(\mathcal{S}) = \{(0, 4), (1, 3), (3, 1), (4, 0)\},$$

$$\mathfrak{r}(\mathcal{S}) = 5, \quad \mathfrak{g}(\mathcal{S}) = 10,$$

$$\mathfrak{PF}(\mathcal{S}) = \mathfrak{F}(\mathcal{S}) \cup \{(0, 3), (3, 0)\}, \quad \mathfrak{e}(\mathcal{S}) = 17.$$

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## Wilf Conjecture

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$$c(\mathcal{S}) \leq e(\mathcal{S})n(\mathcal{S})$$

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## Example

Let  $\mathcal{S} = \{0, 5, 8, 12, \rightarrow\} = \langle 5, 8, 12, 14, 17 \rangle$ . We have

- $c(\mathcal{S}) = 12$
- $e(\mathcal{S}) = 5$
- $n(\mathcal{S}) = 3$

$$12 \leq 5 * 3$$

## Unipotent Wilf Conjecture [CSca]

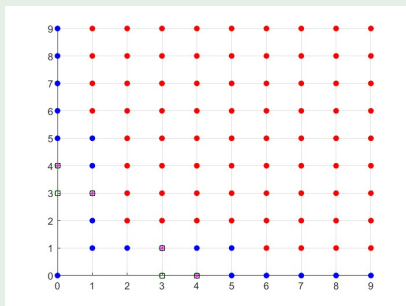
Let  $\mathcal{M} \subseteq \mathbf{U}(n, \mathbb{Z}_{\geq 0})$  be a finitely generated monoid. Let  $\mathcal{S}$  be a unipotent numerical semigroup in  $\mathcal{M}$  then we always have

$$d_{\mathcal{M}c_{\mathcal{M}}}(\mathcal{S}) \leq e_{\mathcal{M}}(\mathcal{S}) n_{\mathcal{M}}(\mathcal{S})$$



## Example

Let  $\mathcal{S} \subseteq \mathbf{P}(3)$  and consider  $\mathcal{S}$  plotted as



- $c(\mathcal{S}) = 25$ .
- $d_{\mathcal{S}} = 2$ .
- $n(\mathcal{S}) = 17$ .
- $e(\mathcal{S}) = 17$ .

$$2 * 25 \leq 17 * 17$$

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# Ideals

We are restricting ourselves to the monoid  $\mathcal{M}^+ = \mathbf{P}(n, \mathbb{Z}_{\geq 0}) \subseteq \mathbf{U}(n, \mathbb{Z}_{\geq 0})$ .  
By abuse of notation, we write  $\mathcal{M}^\pm = (-\mathcal{M}^+) \cup \mathcal{M}^+$ .

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## Relative ideals

Let  $\mathcal{S}$  be a unipotent numerical semigroup. A subset  $\mathcal{I} \subseteq \mathcal{M}^\pm$  is a relative ideal of  $\mathcal{S}$  if

- $\mathcal{S}\mathcal{I} \cap \mathcal{M}^\pm \subseteq \mathcal{I}$ .
- There exists  $A \in \mathcal{S}$  such that  $A\mathcal{I} \subseteq \mathcal{S}$ .

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## Proper ideals

Let  $\mathcal{S}$  be a unipotent numerical semigroup. A subset  $\mathcal{I} \subseteq \mathcal{M}^\pm$  is a proper ideal of  $\mathcal{S}$  if

- $\mathcal{S}\mathcal{I} \cap \mathcal{M}^\pm \subseteq \mathcal{I}$ .
- There exists  $A \in \mathcal{S}$  such that  $A\mathcal{I} \subseteq \mathcal{S}$ .
- $\mathcal{I} \subseteq \mathcal{S}$ .

## Example

Let  $\mathcal{S}$  be a unipotent numerical semigroups.

- 1  $\mathcal{I} = \text{PF}(\mathcal{S}) \cup \mathcal{S}$  is a relative ideal of  $\mathcal{S}$ .
- 2  $\mathcal{I} = \mathcal{S}^*$  is a proper ideal of  $\mathcal{S}$ .
- 3 Let  $\mathcal{S} = \mathbf{P}_3(3)$  and consider  $\mathcal{I} = \mathcal{S}^* \cup \mathbf{P}_1^*(3) \cup \{(-2, -2), (-1, -2)\}$ .

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## Definition

Let  $\mathcal{S}$  be a unipotent numerical monoid and  $\mathcal{I}$  be a relative ideal. We define

- $\mathfrak{m}(\mathcal{I}) := \{A \in \mathcal{I} : \text{sum}(A) \leq \text{sum}(B) \text{ for all } B \in \mathcal{I}\}$ .

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- $\mathfrak{m}(\mathcal{I}) := \{A \in \mathcal{I} : \text{sum}(A) \leq \text{sum}(B) \text{ for all } B \in \mathcal{I}\}$ .
- $\mathfrak{g}(\mathcal{I}) := |\mathfrak{m}(\mathcal{I})\mathcal{M}^+ \setminus \mathcal{I}|$ .
- $\mathbf{F}(\mathcal{I}) := \{A \in \mathcal{M}^\pm \setminus \mathcal{I}^* : A(\mathcal{M}^+)^* \subseteq \mathcal{I}\}$ .
- $\text{PF}(\mathcal{I}) := (\mathcal{I} - \mathcal{S}^*) \setminus \mathcal{I}$  and type of  $\mathcal{I}$  is  $\mathfrak{t}(\mathcal{I}) = |\text{PF}(\mathcal{I})|$ .



Recall  $\mathcal{M}^\pm = (-\mathcal{M}^+) \cup \mathcal{M}^+$ .

## Example

Let  $\mathcal{I}_1, \mathcal{I}_2$  be relative ideal of the unipotent numerical semigroup  $\mathcal{S}$ .

- 1  $\mathcal{I}_1 + \mathcal{I}_2 = \{AB : A \in \mathcal{I}_1, \& B \in \mathcal{I}_2\} \cap \mathcal{M}^\pm$ .
- 2  $\mathcal{I}_1 - \mathcal{I}_2 := \{A \in \mathcal{M}^\pm : A\mathcal{I}_2 \subseteq \mathcal{I}_1\}$ .
- 3  $\mathcal{I}^k = \mathcal{I} + \cdots + \mathcal{I}$  (k-times)

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## Definition (Reduction of $\mathcal{I}$ )

Let  $\mathcal{S}$  be a unipotent numerical semigroup and  $\mathcal{I}$  is a relative ideal of  $\mathcal{S}$  contained in  $\mathcal{M}^+$  then the *reduction number*  $r(\mathcal{I})$  of  $\mathcal{I}$  is defined as

$$r(\mathcal{I}) := \min\{h \in \mathbb{N} : \mathcal{I}^{h+1} = (\mathfrak{m}(\mathcal{I}) + \mathcal{S}) + \mathcal{I}^h\}$$

If  $r(\mathcal{I}) = 1$  then  $\mathcal{I}$  is *stable*.

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## Definition (Blowup)

Let  $\mathcal{S}$  be a unipotent numerical semigroup with  $|\mathfrak{m}(\mathcal{S})| = 1$  and  $\mathcal{I}$  relative ideal to  $\mathcal{S}$  satisfying  $\mathcal{I} \subseteq \mathcal{M}^+$  and  $|\mathfrak{m}(\mathcal{I})| = 1$ .

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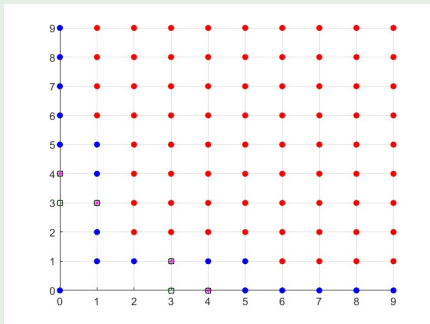
Then we define blowup of  $\mathcal{I}$  to be

$$B(\mathcal{I}) := \mathcal{I}^{r(\mathcal{I})} - (\mathfrak{m}(\mathcal{I})^{r(\mathcal{I})} + \mathcal{S}) = \mathcal{I}^{r(\mathcal{I})} - \mathcal{I}^{r(\mathcal{I})}$$

The *blowup* of  $\mathcal{S}$  is defined to be the blowup of  $\mathcal{S}^*$  i.e  $B(\mathcal{S}) := B(\mathcal{S}^*)$ .

## Example

Let  $\mathcal{S} \subseteq \mathbf{P}(3)$  and consider  $\mathcal{S}$  plotted as



Consider the ideal  $\mathcal{S}^*$ . One can see that  $r(\mathcal{S}^*) = 1$  as  $(\mathcal{S}^*)^2 = (\mathfrak{m}(\mathcal{I}) + \mathcal{S}) + \mathcal{S}^*$ . So,

$$\mathcal{B}(\mathcal{S}) = \mathcal{S}^* - \mathcal{S}^* = \mathcal{S} \cup \text{PF}(\mathcal{S}) = \mathcal{S} \cup \{(3,0), (4,0), (3,1), (1,3), (0,3), (0,4)\}$$

One can form a chain

$$\mathcal{S} = \mathcal{S}^0 \subseteq \mathcal{S}^1 \subseteq \mathcal{S}^2 \subseteq \dots \subseteq \mathcal{S}^{q-1} \subseteq \mathcal{S}^q = \mathcal{M}_{\mathbb{Z}_{\geq 0}}$$

where  $\mathfrak{B}(\mathcal{S}^i) = \mathcal{S}^{i+1}$ .

### Definition (Multiplicity Sequence)

Let  $\mathcal{S}$  be a unipotent numerical semigroup. Consider the chain

$$\mathcal{S} = \mathcal{S}^0 \subseteq \mathcal{S}^1 \subseteq \dots \subseteq \mathcal{S}^q = \mathcal{M}^+$$

One can form a sequence  $(d_0, d_1, \dots, d_q)$  where  $d_i$  is the sum of elements of  $\mathfrak{m}(\mathcal{S}^i)$ . This is clearly a non-increasing sequence that ends at 1.

### Example

From the previous example, the multiplicity sequence of  $\mathcal{S}$  is  $(2, 2, 1)$ .

## Definition (Integrally closed)

Let  $\mathcal{S}$  be a unipotent numerical semigroup and let  $\mathcal{I}$  be an ideal of  $\mathcal{S}$ . Then  $\mathcal{I}$  is said to be *integrally closed* if

$$\mathcal{I} = \mathcal{S}(A) := (A\mathcal{M}^+) \cap \mathcal{S}.$$

for some  $A \in \mathcal{S}$ .

## Definition (Arf semigroups)

A numerical semigroup  $\mathcal{S}$  is said to be *Arf* if every integrally closed ideal of  $\mathcal{S}$  is stable.



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## Definition (Arf semigroups)

A numerical semigroup  $\mathcal{S}$  is said to be *Arf* if every integrally closed ideal of  $\mathcal{S}$  is stable.

## Definition (Arf closure)

Let  $\mathcal{S}$  be a unipotent numerical semigroup. We define the *Arf closure* of  $\mathcal{S}$ , to be the smallest unipotent Arf semigroup containing  $\mathcal{S}$ . We denote it by  $\text{Arf}(\mathcal{S})$ .

### Lemma (Blowup of Arf is Arf.)

Let  $\mathcal{S}$  be an Arf semigroup such that  $|\mathfrak{m}(\mathcal{S})| = 1$  then  $B(\mathcal{S})$  is also Arf.

### Lemma (Multiplicity of $\mathcal{S}$ and $\text{Arf}(\mathcal{S})$ coincides.)

Any numerical semigroup  $\mathcal{S}$  has the same multiplicity sum as its Arf closure i.e.

$$\left\{ \sum x_{ij} : (x_{ij}) \in \mathfrak{m}(\mathcal{S}) \right\} = \left\{ \sum y_{ij} : (y_{ij}) \in \mathfrak{m}(\text{Arf}(\mathcal{S})) \right\}$$

### Lemma

If  $\mathcal{S}$  is an Arf semigroup with  $|\mathfrak{m}(\mathcal{S})| = 1$  and take  $A \in \mathcal{S}^*$ . Then  $\mathcal{S}^\circ = \mathbf{1}_n \cup A\mathcal{S}$  is an Arf semigroup, whose blowup is  $\mathcal{S}$ .

## Theorem!!!

*Blowup and Arf closure commute when  $|\mathfrak{m}(\mathcal{S})| = 1$ . More precisely, if  $\mathcal{S}$  is a unipotent numerical semigroup such that  $|\mathfrak{m}(\mathcal{S})| = 1$ , then*

$$\text{Arf}(\mathbb{B}(\mathcal{S}^*)) = \mathbb{B}(\text{Arf}(\mathcal{S})^*)$$

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